

Report To:	STANDARDS AND PERSONNEL APPEALS COMMITTEE
Date:	5 JULY 2023
Heading:	QUARTERLY COMPLAINTS AND GIFTS AND HOSPITALITY MONITORING
Executive Lead Member:	NOT APPLICABLE
Ward/s:	ALL
Key Decision:	NO
Subject to Call-In:	NO

## Purpose of Report

The purpose of this report is to provide the Standards and Personnel Appeals Committee with an update in respect of Members' Code of Conduct complaints for Quarter 1 of 2023 (April – June 2023). Furthermore, this report provides an update on any Gifts and Hospitality declarations received for Quarter 1 of 2023 (April – June 2023).

# Recommendation(s)

The Standards and Personnel Appeals Committee is recommended to:

a. Note the updated position in respect of Members' Code of Conduct complaints and Gifts and Hospitality declarations as set out in Appendix A.

### Reasons for Recommendation(s)

To enable the Standards and Personnel Appeals Committee to monitor the volume and progress of complaints and gifts and hospitality declarations.

### Alternative Options Considered

No alternative options are considered appropriate.

# **Detailed Information**

#### **COMPLAINTS – APRIL TO JUNE 2023**

There have been no formal complaints submitted in the period April – June 2023. There are 3 complaints that are ongoing. 1 with ongoing dialogue with the complainant and 2 are subject to ongoing external investigations.

2 submissions have been determined to fall outside of being formal complaints due to them being statements or views with no resolution requested or identified. 2 Parish Councillor complaints have been investigated and determined as not upheld.

See Appendix A for a full up-to-date schedule of complaints.

#### **GIFTS AND HOSPITALITY – APRIL TO JUNE 2023**

There have been no declarations of received gifts and hospitality from April to June 2023.

#### **Implications**

#### **Corporate Plan:**

The Council will strive to ensure effective community leadership, through good governance, transparency, accountability and appropriate behaviours.

#### Legal:

There are no direct legal implications resulting from the recommendations within this report. [RLD 27/06/2023]

#### Finance:

The Council incurs costs investigating complaints of alleged Member misconduct if investigations are carried out externally. These costs are met through the General Fund. The Council investigates complaints internally as much as possible to reduce costs. Where complaints need to be investigated externally these costs are expected to be contained within existing budgets.

Budget Area	Implication
General Fund – Revenue Budget	
General Fund – Capital	
Programme	
Housing Revenue Account – Revenue Budget	Not applicable.
Housing Revenue Account – Capital Programme	

#### **Risk:**

Risk	Mitigation
Potential for negative perception of the Council which impacts the Council's reputation. Potentially adverse impact upon the workings of the Council. Associated legislation does not provide "strong" sanctions for breaches to the Members' Code of Conduct which may make regulation of poor ethical behaviour difficult and leave complainants dissatisfied with outcomes.	The Standards and Personnel Appeals Committee approves an annual work programme to consider how it will ensure high standards of ethical behaviour. Presentation of Quarterly Complaint Monitoring reports to the Standards and Personnel Appeal Committee ensures ongoing monitoring of complaints to identify trends and areas for improvement.

## **Human Resources:**

There are no direct HR implications resulting from the recommendations within this report.

## Environmental/Sustainability:

There are no direct environmental/sustainability implications resulting from the recommendations within this report.

## **Equalities:**

Any equalities implications identified through the investigation of complaints are duly investigated and reported on.

### **Other Implications:**

There are no other implications resulting from the recommendations within this report.

### Reason(s) for Urgency

None.

### **Reason(s) for Exemption**

None.

### **Background Papers**

None.

### **Report Author and Contact Officer**

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# **Sponsoring Executive Director**

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